



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

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First Named Inventor or Application Identifier

SHINGO NOZAWA

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## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification Total Pages

4. ☒ Drawing(s) (35 USC 113) Total Sheets

5. ☐ Oath or Declaration Total Pages

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 6 below]

i. ☐ **DELETION OF INVENTOR(S)**  
Signed Statement attached deleting  
inventor(s) named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure  
Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Other: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information.

☐ Continuation

☐ Divisional

☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

05514  
(Insert Customer No., or Attach bar code label here)

or ☐ Correspondence address below

NAME

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))		34-20 =	14	X \$ 88.00 =	\$252.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))		6-3 =	3	X \$ 80.00 =	\$240.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				\$270.00 =	\$0
				BASIC FEE (37 CFR 1.16(a))	\$710.00
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TOTAL =					\$1202.00

## 19. Small entity status

- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

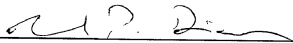
- 20.
- ☒
- A check in the amount of \$
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22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

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#### REPRESENTATIVE INFORMATION

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#### PRIOR FOREIGN APPLICATIONS

Foreign Application One:: 11-298661  
Filing Date:: 10-20-1999  
Country:: JAPAN  
Priority Claimed:: Yes  
Foreign Application Two:: 11-298660  
Filing Date:: 10-20-1999  
Country:: JAPAN  
Priority Claimed:: Yes

TITLE OF THE INVENTION

IMAGE ENCODING METHOD AND APPARATUS

5

FIELD OF THE INVENTION

This invention relates to an image encoding method and apparatus for encoding an input image by applying quantization processing that differs for each region of  
10 the image.

BACKGROUND OF THE INVENTION

Recent advances in digital signal processing  
15 technology have made it possible to efficiently encode large quantities of digital information such as moving and still pictures and video and to record the encoded information on a small-size magnetic medium or to transmit it to a communication medium.

20 A technique using the discrete wavelet transform is known as a highly efficient method of encoding an image. In accordance with this technique, the discrete wavelet transform is applied to an input image signal to be encoded. In the discrete wavelet transform, two-  
25 dimensional discrete wavelet transform processing is applied to an input image signal, and then a sequence of

coefficients obtained by the discrete wavelet transform is quantized.

In such quantization, a region of an image to be encoded to an image quality higher than that of a peripheral portion of an image containing the image region is designated by a user. The coefficients that belong to the designated region are then evaluated, these coefficients are quantized upon raising the precision of quantization a prescribed amount, and encoding is carried out in such a manner that the designated image region can be decoded to an image quality higher than that of the periphery.

With this conventional technique, however, the designation of the image region desired to be encoded to a high image quality is an explicit designation made by the user. The operation demanded of the user is therefore a complicated one.

Further, if it is so arranged that the image region to thus be encoded to a high image quality is determined by automatically discriminating the patterns or colors of this image, a limitation is imposed on the colors or shapes of objects to be encoded to the high image quality and it will not be possible to obtain an object that can be used universally. For example, in a case where video shot by a home digital video camera or the like is to be processed, satisfactory results are not

obtained.

Further, the specification of Japanese Patent Application Laid-Open No. 10-145606 describes a region discrimination method as a technique through which a  
5 wavelet transform is applied to an input image and a region of interest in the image is extracted using subband signals that are obtained. According to the invention described in this publication, separation of an image region is implemented depending upon whether a  
10 wavelet coefficient obtained by applying a Harr wavelet transform to an image signal, i.e., the absolute value of the high-frequency component of the subband signals, is greater than a predetermined threshold value.

With this example of the prior art, however, the  
15 purpose is to separate a region having a strong edge from a region having a weak edge by referring to the absolute values of the wavelet coefficients (i.e., of the subband signals). The segmentation of a higher-order multilevel region or the extraction of a region of  
20 interest, namely the extraction of a subject of interest from an image region, cannot be carried out.

#### SUMMARY OF THE INVENTION

25 An object of the present invention is to provide an image encoding method and apparatus through which

diverse image regions can be designated and encoded efficiently without placing a burden upon the user.

Another object of the present invention is to provide an image encoding method and apparatus through  
5 which an image region to be encoded to a higher level can be selected and encoded automatically in accordance with the characteristics of the image to be encoded.

A further object of the present invention is to provide an image encoding method and apparatus through  
10 which regions of interest are extracted from image data automatically and encoding processing that differs for each extracted region can be executed.

In order to attain the above described objects, an  
15 image encoding apparatus of the present invention comprises: image input means for inputting an image signal; band dividing means for dividing the image signal input by said image input means into different spatial frequency bands; region-of-interest extraction  
20 means for extracting a region of interest by obtaining a distribution of motion vectors in the image signal based upon values of spatial frequency components of the image signal obtained by the band dividing means; quantization means for applying quantization processing to the region  
25 of interest extracted by the region-of-interest extraction means and different quantization processing

to other regions, and outputting a quantized image signal; and image encoding means for encoding the quantized image signal quantized by the quantization means.

5           In order to attain the above described objects, an image encoding apparatus of the present invention comprises: transformation means for applying a discrete wavelet transform to an image signal; motion detection means for detecting motion of an image based upon the  
10 image signal; region designation means for designating a region of the image signal based upon information indicating motion of the image detected by the motion detection means; quantization means for quantizing a discrete wavelet transformed output from the  
15 transformation means in accordance with the region designated by the region designation means and outputting a quantized image signal; and encoding means for encoding the quantized image signal quantized by the quantization means.

20           Other features and advantages of the present invention will be apparent from the following description taken in conjunction with the accompanying drawings, in which like reference characters designate the same or similar parts throughout the figures  
25 thereof.



## BRIEF DESCRIPTION OF THE DRAWINGS

The accompanying drawings, which are incorporated in and constitute a part of the specification, illustrate embodiments of the invention and, together with the description, serve to explain the principle of the invention.

Fig. 1 is a block diagram illustrating the construction of an image encoding apparatus according to a first embodiment of the present invention;

Figs. 2A and 2B are diagram useful in describing a wavelet transform in a discrete wavelet transformation unit according to the first embodiment;

Fig. 3 is a block diagram illustrating the construction of an ROI extraction unit according to the first embodiment;

Fig. 4 is a block diagram illustrating the construction of a motion vector detector according to the first embodiment;

Figs. 5A, 5B and 5C are diagrams useful in describing an ROI mask and a quantization method according to the first embodiment;

Fig. 6 is a diagram useful in describing entropy encoding;

Fig. 7 is a block diagram illustrating the construction of an ROI extraction unit according to a second embodiment of the present invention;

Fig. 8 is a diagram useful in describing the notation of an equation for calculating degree of left-right symmetry according to the second embodiment;

Fig. 9 is a block diagram illustrating the construction of an image encoding apparatus according to a fourth embodiment of the present invention;

Fig. 10 is a block diagram illustrating the construction of a motion vector detector according to the fourth embodiment;

Fig. 11 is a block diagram illustrating the construction of a motion vector detector according to a fifth embodiment;

Fig. 12 is a block diagram illustrating the construction of a motion vector detector according to a sixth embodiment; and

Fig. 13 is a block diagram illustrating the construction of an region designation unit according to a seventh embodiment.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

Preferred embodiments of the present invention will now be described in detail with reference to the

accompanying drawings.

[First Embodiment]

Fig. 1 is a block diagram illustrating the construction of an image encoding apparatus according to  
5 a first embodiment of the present invention.

As shown in Fig. 1, the apparatus includes an image input unit 1 for inputting image data. By way of example, the image input unit 1 is equipped with a scanner for reading a document image, with an imaging  
10 device such as a digital camera, or with an interface for interfacing a communication line. The input image is applied to a discrete wavelet transformation unit 2, which applies a two-dimensional discrete wavelet transform to the input image. An ROI (Region of  
15 Interest) extraction unit 3 extracts an ROI from the image that has entered from the image input unit 1. A quantizer 4 quantizes coefficients obtained by the two-dimensional discrete wavelet transform. An encoder 5 encodes the image signal that has been quantized by the  
20 quantizer 4, and a code output unit 6 outputs the code obtained by the encoder 5.

The apparatus according to the first embodiment is not limited to a special-purpose apparatus of the kind shown in Fig. 1 and is applicable also to a case where a  
25 program which implements these functions is loaded in, e.g., a general-purpose personal computer or work

station and the computer or work station is made to operate in accordance with the program.

The operation of the apparatus will now be described with reference to Fig. 1.

5 First, an image signal constituting an image to be encoded is input to the image input unit 1 by raster scanning. The input thus entered is input to the discrete wavelet transformation unit 2. In the description that follows, it will be assumed that the  
10 image signal that has entered from the image input unit 1 is a monochrome multilevel image. However, if an image signal having a plurality of color components, such as a color image, is input and encoded, it will suffice to compress the RGB color components or the  
15 luminance and chromaticity components as well as the monochrome components.

The discrete wavelet transformation unit 2 subjects the input image signal to two-dimensional discrete wavelet transform processing, calculates the transform  
20 coefficients and outputs these coefficients. The first embodiment assumes application of the Haar wavelet transform, which best lends itself to hardware implementation. A low-pass filter (referred to as an "LPF" below) employed in the Haar wavelet transform  
25 averages mutually adjacent pixels, and a high-pass filter (referred to as an "HPF" below) calculates the

difference between the mutually adjacent pixels.

The procedure of two-dimensional discrete wavelet transform processing will be described with reference to Figs. 2A and 2B.

5 Fig. 2A is a diagram useful in describing horizontal- and vertical-direction transform processing applied to an input image signal. Filtering by an LPF and an HPF is performed first in the horizontal direction. A sequence of low-pass coefficients and a  
10 sequence of high-pass coefficients thus obtained are each downsampled, to half the rate, in the horizontal direction by downsamplers 201. Next, filtering similar to that in the horizontal direction is applied in the vertical direction and then downsampling to half the  
15 rate is applied by downsamplers 202 in the vertical direction. By repeatedly executing the same processing to signals of the lowest frequency band, eventually a series of data sequences (LL, LH2, HL2, HH2, LH1, HL1, HH1) belonging to seven different frequency bands are  
20 output.

Fig. 2B illustrates the manner in which an input multilevel image signal is divided into different frequency bands as a result of the transform processing shown in Fig. 2A.

25 As shown in Fig. 2B, the frequency bands are labeled HH1, HL1, LH1, ..., LL. In the description that

follows, a single transformation in the horizontal and vertical directions shall be considered to be one level of decomposition, and the frequency bands HH1, HL1, LH1, ..., LL shall be referred to as "subbands". The principle of image compression based upon this wavelet transform is reported in detail in M. Antonini, M. Barlaud, P. Mathieu and I. Daubechies, "Image Coding Using Wavelet Transform", IEEE Transactions on Image Processing, Vol. 1, No. 2, April 1992.

Fig. 3 is a block diagram useful in describing the construction of the ROI extraction unit 3 according to the first embodiment.

As shown in Fig. 3, the ROI extraction unit 3 includes a motion vector detector (MVD) 10 and a region segmentation unit 11. Subbands obtained by dividing the image signal into the frequency bands using the discrete wavelet transformation unit 2 enter the motion vector detector 10.

Motion-vector estimation is performed based upon the well-known gradient method (also referred to as the temporal-spatial gradient method or temporal-spatial differentiation method, etc.) For a description of the principle of the gradient method, see USP 3,890,462; J.O. Limb and J.A. Murphy, "Measuring the Speed of Moving Objects from Television Signals", IEEE Transactions on Communications, Vol. COM23, pp. 474 -

478, April 1975; and J.O. Limb and J.A. Murphy,  
 "Estimating the Velocity of Moving Images in Television  
 Signals", Computer Graphics and Image Processing, 4, pp.  
 311 - 327, 1975. Equations for estimating motion

5 vectors based upon the gradient method are as follows:

$$\alpha = -\sum_B \{\Delta t(i) \cdot \text{sign}(\Delta x(i))\} / \sum_B |\Delta x(i)| \quad \dots (1)$$

$$\beta = -\sum_B \{\Delta t(i) \cdot \text{sign}(\Delta y(i))\} / \sum_B |\Delta y(i)| \quad \dots (2)$$

where  $\alpha$  and  $\beta$  represent the results of estimating, in  
 the horizontal and vertical directions, respectively, a  
 10 motion vector  $V$  at a pixel of interest,  $\Delta t(i)$  represents  
 the amount of change with time of a pixel value of an  $i$ -th  
 pixel neighboring the pixel of interest,  $\Delta x(i)$   
 represents a horizontal spatial gradient at the  $i$ -th  
 pixel neighboring the pixel of interest, and  $\Delta y(i)$   
 15 represents a vertical spatial gradient at the  $i$ -th pixel  
 neighboring the pixel of interest. Further,  $\text{sign}(x)$   
 represents an operator for extracting the sign bit of an  
 input signal  $x$ , and  $|x|$  represents an operator for  
 outputting the absolute value of the input signal  $x$ . In  
 20 addition,  $\sum_B$  represents the sum total within a block  $B$   
 comprising a plurality of pixels centered on the pixel  
 of interest. The motion vector  $V(\alpha, \beta)$  at the pixel of  
 interest is estimated using the temporal change  $\Delta t(i)$ ,  
 horizontal spatial gradient  $\Delta x(i)$  and vertical spatial  
 25 gradient  $\Delta y(i)$  of pixel values of all pixels  $i$  that  
 belong to the block  $B$ . The size of the block  $B$  in this

case is usually  $3 \times 3$  to  $15 \times 15$  pixels.

Fig. 4 is a block diagram showing the details of the motion vector detector 10.

As shown in Fig.4, the motion vector detector 10 includes an input unit 20 for inputting a subband LL; an input unit 21 for inputting a subband HL2 or LH2; an image memory 22 such as a frame memory; an adder 23 for performing addition or subtraction; a sign output unit (sign) 24 for extracting the sign bit of input data; a multiplier 25; an absolute-value output unit (ABS) 26 for outputting the absolute value of input data; accumulators ( $\Sigma B$ ) 27, 28 for performing cumulative addition; a divider 29 for executing division; and an output unit 30 for outputting the estimated value of a motion vector.

The subband LL that has entered from the input unit 20 is subtracted from the subband LL of the preceding frame, which has arrived via the image memory 22, by the adder 23, whereby temporal change  $\Delta t$  of the pixel value is calculated. Meanwhile, the subband HL2 or LH2 enters directly from the input unit 21, taking note of the fact that the horizontal and vertical spatial gradients  $\Delta x$ ,  $\Delta y$  of the image have already been operated on as subbands HL2, LH2, respectively. The sign of each pixel of the subband HL2 or LH2 is output from the sign output unit 24 and applied to the multiplier 25. The latter



multiplies the temporal change  $\Delta t$  of the pixel value by the sign of the spatial gradient that enters from the input unit 21. The absolute-value output circuit 26 calculates the absolute value of the pixel value of each pixel in the entered subband HL2 or LH2. From the block comprising the plurality of neighboring pixels centered on the pixel of interest, the accumulators 27, 28 cumulatively add the values (the outputs of the multiplier 25) obtained by multiplying the temporal change  $\Delta t$  by the sign of the spatial gradient, and the absolute values (the outputs of the absolute-value output circuit 26) of the spatial gradient  $\Delta x$  or  $\Delta y$ , respectively. More specifically, the accumulator 27 calculates the numerators of Equations (1), (2) and the accumulator 28 calculates the denominators of Equations (1), (2). Finally, the divider 29 performs the division in accordance with Equations (1), (2) and the output unit 30 outputs the horizontal component  $\alpha$  or vertical component  $\beta$  of the motion vector. In accordance with the procedure described above, a minute distribution of motion vectors can be obtained over the entire area of the input image.

Next, the region segmentation unit 11 subjects the image to region segmentation by referring to the distribution of motion vectors detected by the motion vector detector 10. Within the image to be encoded, a

region (ROI) that is to be decoded at a quality higher than that at the image periphery is decided and mask information indicating which coefficients belong to the designated region is generated when the image of

5 interest is subjected to the discrete wavelet transform. It should be noted that the determination of the ROI can be performed by referring to the picture-taking mode of the camera. For example, if the camera is in a tracking photographic mode, the subject (the ROI) is

10 substantially stationary at the center of the picture and the background travels in conformity with the motion of the subject. If the camera is set to a mode for photography using a tripod, the subject (the ROI) will move freely within the picture and the background will

15 be substantially stationary. Accordingly, which region in the input image is the present ROI can be determined from the mode of photography.

Fig. 5A is a conceptual view for describing an example of a case where mask information for extracting

20 only the ROI or for excluding only the ROI is generated.

If a star-shaped ROI exists in an image, as indicated on the left side of Fig. 5A, the region segmentation unit 11 extracts the ROI based upon the motion-vector distribution information and calculates

25 the portion of each subband occupied by this ROI. The region indicated by the mask information is a domain,

which includes the transform coefficients of the  
periphery, necessary when decoding the image signal on  
the boundary of the ROI. An example of the mask  
information thus calculated is shown on the right side  
5 of Fig. 5A. In this example, mask information for when  
a two-level two-dimensional discrete wavelet transform  
is applied to the image on the left side of Fig. 5A is  
calculated in the manner shown on the right side of Fig.  
5A. In Fig. 5A, the star-shaped portion is the ROI, the  
10 bits constituting the mask information within this  
region are "1"s and the bits of the other region  
information are "0"s. The entirety of this mask  
information is identical with the constitution of the  
transform coefficients obtained by the two-dimensional  
15 discrete wavelet transform. By scanning the bits within  
the mask information, therefore, it is possible to  
identify whether the coefficients at the corresponding  
positions fall within the designated region.

The mask information thus produced is applied to  
20 the quantizer 4. Furthermore, the region segmentation  
unit 11 receives an input of a parameter, which  
specifies the image quality of the designated ROI, from  
an input unit (e.g., a keyboard or a pointing device  
such as a mouse), which is not shown. The parameter may  
25 be a numerical value expressing a compression rate  
assigned to the designated region, or a numerical value

representing the image quality of this region. On the basis of this parameter, the region segmentation unit 11 calculates a bit-shift quantity W for the coefficients in the ROI and outputs this to the quantizer 4 together with the mask information.

The quantizer 4 quantizes the transform coefficients from the discrete wavelet transformation unit 2 by a predetermined quantization step  $\Delta$  and outputs indices corresponding to the quantized values.

10 Quantization is carried out in accordance with the following equations:

$$q = \text{sign}(c) \cdot \text{floor}(|c|/\Delta) \quad \dots (3)$$

$$\text{sign}(c) = 1; \quad c = 0 \quad \dots (4)$$

$$\text{sign}(c) = -1; \quad c < 0 \quad \dots (5)$$

15 where c represents a coefficient that undergoes quantization and floor(x) is a function for outputting the largest integral value that is smaller than x. Further, in the first embodiment, it is assumed that "1" is included as a value of the quantization step  $\Delta$ . When  
20 the value is "1", this is equivalent to a situation in which quantization is not carried out.

Next, the quantizer 4 changes the quantization indices in accordance with the following equations based upon the mask information and shift quantity W that has  
25 entered from the ROI extraction unit 3:

$$q' = q \cdot 2^W; \quad m = 1 \quad \dots (6)$$

$$q' = q \quad ; \quad m = 0 \quad \dots (7)$$

where m represents the value of mask information at the position of the quantization index. By virtue of the processing described above, only a quantization index  
 5 that belongs to the designated spatial region is shifted up by W bits in the ROI extraction unit 3.

Figs. 5B and 5C are diagrams useful in describing a change in quantization index by such shift-up.

In Fig. 5B, three quantization indices exist in  
 10 three subbands. If the value of mask information of a quantization index that has been subjected to screening is "1" and the number W of shifts is "2", then the quantization indices after the shift will be as shown in Fig. 5C. The quantization indices that have been  
 15 changed in this manner are output to the encoder 5.

In this embodiment, entropy encoding is used as the encoding method in the encoder 5. Entropy encoding will be described below.

The encoder 5 decomposes entered quantization  
 20 indices into bit planes, applies binary arithmetic encoding on a per-bit-plane basis and outputs a code sequence.

Fig. 6 is a diagram useful in describing the operation of the encoder 5. In this example, three non-  
 25 zero quantization indices exist in a region within a subband having a size of  $4 \times 4$ , and the values of these

indices are "+13", "-6" and "+3". The encoder 5 obtains a maximum value M by scanning this region and, in accordance with the following equation, calculates a number S of bits necessary to express the maximum

5 quantization index:

$$S = \text{ceil}(\log_2(|M|)) \quad \dots (8)$$

where  $\text{ceil}(x)$  is a function for outputting the smallest integral value that is greater than x.

In Fig. 6, the maximum coefficient value is "13" and therefore the value of S is "4" and the 16 quantization indices in the sequence are processed in units of the four bit planes, as shown in Fig. 6.

First, the entropy encoder 5 applies binary arithmetic encoding to each bit of the most significant bit plane (represented by MSB in Fig. 6) and outputs the encoded bits as a bit stream. Next, the bit plane is lowered by one level and the process is repeated. This processing is repeated until the bit plane of interest reaches the least significant bit plane (represented by LSB), with each bit of the bit planes being encoded and output to the code output unit 6. If an initial non-zero bit is detected in the scanning of the bit planes, then the code of this quantization index undergoes entropy encoding immediately thereafter. The encoded image signal is finally output from the code output unit 6.

Thus, in accordance with the first embodiment as described above, the following effects are obtained:

(1) Using a subband signal obtained by applying a wavelet transform to an input image makes it possible to  
5 estimate a motion vector within this input image. Accordingly, the wavelet transform can be used not only for encoding an image but also for ROI extraction without requiring a large-scale modification or increase in hardware.

10 (2) As a result, an image signal can be compressed more efficiently as by using a certain quantization step width for an extracted ROI and a different quantization step width for a non-ROI.

(3) Since ROI extraction is performed using a  
15 subband signal of a reduced sampling rate as the target, processing is quick.

In the first embodiment, the Haar wavelet transform is applied to an image signal. However, similar results can be obtained with any wavelet transform in which the  
20 high-pass filter (HPF) reflects the spatial gradient of the image.

Further, in the wavelet transform, the same filtering processing is repeatedly applied to the subband of the minimum frequency of the input image,  
25 whereby the input image is converted to multiple resolutions in a pyramid structure. Accordingly,

detection of a motion vector in the first embodiment means not only extraction independently from a subband signal in a specific region, as described above. For example, it is possible to estimate a motion vector rapidly at a coarse resolution and, by referring to this low-resolution motion vector, to precisely estimate motion vectors in subband signals having a gradually higher resolution.

[Second Embodiment]

10 In the first embodiment described above, motion vectors within an image are detected minutely using subband signals obtained by application of the Haar wavelet transform, and an ROI is extracted based upon the distribution of these motion vectors. In the second embodiment, an ROI having left-right symmetry is  
15 extracted within an image having substantial left-right symmetry, such as an image of the human face, using subband signals obtained by application of the Haar wavelet transform in a manner similar to that of the  
20 first embodiment.

Fig. 7 is a block diagram illustrating the construction of the ROI extraction unit 3 according to the second embodiment for extracting an ROI having left-right symmetry. The ROI extraction unit 3 includes an  
25 arithmetic unit 40 for calculating degree of left-right symmetry and a region segmentation unit 41.



As shown in Fig. 7, in subbands obtained from the preceding two-dimensional discrete wavelet transformation unit 2, HL2 having horizontal spatial-gradient information and LH2 having vertical spatial-gradient information are input to the arithmetic unit 40 for calculating degree of left-right symmetry. A method in which the similarity of brightness or color on the left and right sides of the ROI is evaluated as a yardstick of light-right symmetry is a direct approach.

10 However, since the luminance and color of an image are readily influenced by illumination, this evaluation method does not necessarily provide stable results. Accordingly, in the second embodiment, information concerning the orientation of the spatial gradient of an

15 image is utilized as an indicator that is not readily susceptible to the lighting conditions. (For example, see the specification of Japanese Patent Application Laid-Open No. 10-162118 or Toshiaki Kondo and Hong Yan, "Automatic Human Face Detection and Recognition under

20 Non-uniform Illumination", Pattern Recognition, Vol. 32, No. 10, pp. 1707 - 1718, October 1999.)

Extraction of a region having left-right symmetry utilizing information relating to the orientation of the spatial gradient of an image will be considered. To

25 accomplish this, a region of interest having a regular shape, such as a rectangular or elliptical block, is set

within an input image, the region of interest is shifted in position incrementally within the input image and, each time a shift is made, the degree of left-right symmetry is calculated. Since the region of interest  
5 has left-right symmetry, the spatial gradients of pixels at corresponding positions on the left and right sides of a perpendicular axis which crosses this region must satisfy the following two conditions:

- (i) the orientations of the gradients in the  
10 horizontal direction are opposite each other; and
- (ii) the orientations of the gradients in the vertical direction are identical.

Orientation  $\theta$  of a spatial gradient is expressed by Equation (9) below as the ratio of gradient  $\Delta x$  in the  
15 horizontal direction to gradient  $\Delta y$  in the vertical direction at each pixel.

$$\theta(x,y) = \tan^{-1}(\Delta y/\Delta x) \quad \dots (9)$$

where " $\tan^{-1}$ " represents arc tangent (arctan). Taking note of the fact that the horizontal and vertical  
20 spatial gradients  $\Delta x$ ,  $\Delta y$  of the image have already been calculated as subbands HL2, LH2, respectively, Equation (9) can be rewritten as Equation (10) below.

$$\theta(x,y) = \tan^{-1}(LH2/HL2) \quad \dots (10)$$

According to the second embodiment, therefore, the  
25 orientation of a spatial gradient is found by implementing Equation (10) making direct use of the

outputs LH2, HL2 of the discrete wavelet transformation unit 2. Though Equation (10) may be evaluated as is using real numbers, the operation can be executed more simply and at higher speed if a look-up table is

5 utilized. Next, degree  $\gamma$  of symmetry at each position (x,y) of the image is found. According to the second embodiment,  $\gamma(x,y)$  is defined as indicated by Equation (11) below using  $\theta(x,y)$ .

$$r(x,y) = \sum_{j=y-v/2}^{y+v/2} \sum_{i=x-h/2}^x [|\cos(\theta(i,j)) + \cos(\theta(2x-i,j))|^2 + |\sin(\theta(i,j)) - \sin(\theta(2x-i,j))|^2]$$

10 ... (11)

Equation (11) will be described with reference to Fig. 8, which illustrates a rectangular block to be operated on in order to calculate the degree  $\gamma$  of symmetry.

15 In Fig. 8, **h** represents the size of the block in the horizontal direction, **v** the size of the block in the vertical direction, and (x,y) the position of the center **c** of the block. Calculation of the degree  $\gamma$  of symmetry is performed while raster-scanning a pixel of interest

20 from a pixel **s** (x-h/2,y-v/2) to a pixel **e** (x,y+v/2) within the left half of the block in the manner illustrated. For example, when the pixel of interest is **m**, the degree  $\gamma$  of symmetry is calculated using spatial-gradient information at the pixel **m** and the amount of

25 the spatial gradient at a pixel **n**, which is located at a

position symmetrical with respect to the pixel  $m$ . If the degree of left-right symmetry is high, the first and second terms of Equation (11) both become small values owing to cancellation within the terms. Though the absolute values are squared in Equation (11), the degree of symmetry may simply be the sum of the absolute values. Though the size of the area to be operated on approximately agrees with the size of a face to be detected, a block having a size that differs in several stages may be used if the size of the face is unknown.

The region segmentation unit 41 extracts only a region having high degree of left-right symmetry based upon the results from the arithmetic unit 40 for calculating the degree of left-right symmetry and then decides the region that corresponds to the human face from the extracted region. In order to decide the position of the human face from the region of high left-right symmetry, any method whose purpose is to extract a human face may be employed, such as making combined use of template matching using the template of a human face, a search for a flesh-tone region or elliptical region and motion-vector information obtained in accordance with the first embodiment.

Since extraction of an ROI in the second embodiment is such that a specific region having left-right symmetry is extracted in an efficient manner, the method

of extraction is highly effective when photographing a human being. Accordingly, in a case where the image input unit 1 is a camera or the like, the function of the second embodiment is turned on when a mode for photographing a human being or a portrait mode is selected in association with the picture-taking mode. When another type of photographic mode is in effect, a default is set so as to turn the function of the second embodiment off.

10 In accordance with the second embodiment, as described above, the following advantages are obtained:

(1) A region in an input image having left-right symmetry can be extracted in a simple manner using subband signals obtained by applying a wavelet transform to the input image. Accordingly, the wavelet transform can be exploited not only for encoding an image but also for ROI extraction without requiring a large-scale modification or increase in hardware.

(2) As a result, an image signal can be compressed more efficiently as by using a certain quantization step width for an extracted ROI and a different quantization step width for a non-ROI.

(3) Since ROI extraction is performed using a subband signal of a reduced sampling rate as the target, processing is quick.

(4) The arithmetic unit 40 for calculating degree

of left-right symmetry utilizes only the gradient direction of an image. As a result, there is little influence from changes in lighting conditions and a region of left-right symmetry can be detected in stable fashion. Further, since a specific region having left-right symmetry is found, regions that are candidates for a human face can be narrowed down efficiently regardless of whether the background is complicated or simple.

(5) By determining the position of a face through application of pattern matching solely to portions having a high degree of left-right symmetry, a human face can be detected highly precisely and at high speed while greatly reducing the amount of pattern-matching processing.

In the second embodiment, the Haar wavelet transform is utilized. However, similar results can be obtained also if the HPF used in the Haar wavelet transform is of the quadratic differential type, such as a Laplacian filter.

#### [Third Embodiment]

In the first embodiment described above, motion vectors within an image are detected minutely utilizing subband signals obtained by application of the Haar wavelet transform, and an ROI is extracted based upon the distribution of these motion vectors. In the second embodiment, an ROI having left-right symmetry is

extracted in similar fashion using subband signals  
obtained by application of the Haar wavelet transform.  
Next, in the third embodiment, segmentation of an input  
image into regions is carried out utilizing subband  
5 signals obtained by application of a wavelet transform  
and a region of interest in the input image is  
extracted.

An image having a low resolution often is used to  
perform region segmentation efficiently. The reason for  
10 this is that a region within an image contains more  
global image information, unlike edge information, for  
example. Accordingly, first the input image is  
subjected to region segmentation coarsely using the  
subband signal LL of the lowest frequency band.  
15 According to the third embodiment, the quad-tree  
segmentation method is utilized for region segmentation.  
However, the present invention is not limited to the  
quad-tree segmentation method. Any other method such as  
the clustering method or histogram-base technique may be  
20 used.

Quad-tree segmentation segments an image into  
regions through the following steps:

- (1) Processing is started using the input image,  
namely the subband signal LL in this embodiment, as one  
25 image region.
- (2) Homogeneity, e.g., a variance value, within

the region is calculated. If the variance value exceeds a fixed value, the region is judged to be non-homogeneous and is split into four equal regions.

(3) If mutually adjacent split regions satisfy the condition for homogeneity, i.e., exhibit variance values that are equal to or less than the fixed value, these regions are merged.

(4) The above-described steps are repeated until splitting and merging no longer occur.

Thus, an input image can be segmented into regions coarsely on a block-by-block basis. If an input image consists of a comparatively simple pattern, calculation can be simplified using the difference between maximum and minimum values in segmented regions as a criterion for evaluating homogeneity.

Next, edge distribution edge(i,j) is found using subband signals LH2, HL2.

Edge strength can be expressed by the following equation:

$$\text{edge}(i,j) = |\text{LH2}(i,j)| + |\text{HL2}(i,j)| \dots (12)$$

As a result of the quad-tree segmentation described above, the boundary of this segmented region generally consists of connected minute blocks. Accordingly, in a region in which these minute blocks are connected, pixels of a strong edge defined by Equation (12) are traced and the resulting path is decided upon as being



the true boundary line.

According to the third embodiment, as described above, a region of interest cannot be specified even though an image can be segmented. However, the following effects can be obtained by combining the third embodiment with the first and/or second embodiments or by adding on initial information concerning a region of interest:

(1) In a case where the input image is a sequence of moving pictures, a region of interest can be specified from the motion-vector distribution, which was described in the first embodiment, and the picture-taking mode, and the contour of this region of interest can be finalized as the true contour through the procedure set forth above.

(2) In a case where a human face is to be extracted, a boundary line defining an elliptical shape can be finalized as the contour through the above-described procedure by focusing upon the axes of symmetry of a symmetric region as described in the second embodiment.

(3) If a region of interest is designated at the start in a case where the input image is a sequence of moving pictures, then the contour of this region of interest can be traced by repeating the above-described procedure.

[Fourth Embodiment]

Fig. 9 is a block diagram illustrating an example of the construction of an image encoding apparatus according to a fourth embodiment of the present invention.

As shown in Fig. 9, the apparatus includes an image input unit 101 for inputting image data. By way of example, the image input unit 101 is equipped with a scanner for reading a document image, with an imaging device such as a digital camera, or with an interface for interfacing a communication line. The input image is applied to a discrete wavelet transformation unit 102, which applies a two-dimensional discrete wavelet transform to the input image. A quantizer 103 quantizes a sequence of transform coefficients obtained by the two-dimensional discrete wavelet transformation unit 102, and an entropy encoder 104 applies entropy encoding to the image signal quantized by the quantizer 103. A code output unit 105 outputs the code obtained by the encoder 104. A motion detector 107 detects the motion of an object in the image that has entered from the image input unit 101. On the basis of the motion of the object in the image detected by the motion detector 107, a region designation unit 106 determines a region to be subjected to a particularly high degree of encoding, sends the result of determination to the quantizer 103

and instructs the quantizer 103 to perform quantization. The components 101 to 106 in Fig. 9 correspond to the components 1 to 6, respectively, in Fig. 1. Though the motion vector detector 10 shown in Fig. 3 detects the motion of an image based upon subbands after application of the wavelet transform, the motion detector 107 in this embodiment detects motion based upon the original image signal. Further, the apparatus according to the fourth embodiment is not limited to a special-purpose apparatus of the kind shown in Fig. 9 and is applicable also to a case where a program which implements these functions is loaded in, e.g., a general-purpose personal computer or work station and the computer or work station is made to operate in accordance with the program.

The operation of the apparatus will now be described with reference to Fig. 9.

First, an image signal constituting an image to be encoded is input to the image input unit 101 by raster scanning. The input thus entered is input to the discrete wavelet transformation unit 102 and to the motion detector 107. In the description that follows, it will be assumed that the image signal that has entered from the image input unit 101 is a monochrome multilevel image. However, if an image signal having a plurality of color components, such as a color image, is

input and encoded, it will suffice to compress the RGB color components or the luminance and chromaticity components as the monochrome components.

The discrete wavelet transformation unit 102  
5 subjects the input image signal to two-dimensional discrete wavelet transform processing and applies the sequence of coefficients resulting from the transformation to the quantizer 103. As is well known, a two-dimensional discrete wavelet transform can be  
10 expressed by successively applying a one-dimensional discrete wavelet transform successively in the horizontal and vertical directions of the image. A one-dimensional discrete wavelet transform divides the input signal into low- and high-frequency components by  
15 prescribed low- and high-pass filters and downsamples each of these components to half the number of samples.

On the basis of the image signal supplied by the image input unit 101, the motion detector 107 detects a region of motion within the image and supplies the  
20 region designation unit 106 with a detection signal 110 indicative of the result of detection. When the detection signal 110 enters, the region designation unit 106 outputs region information 111, which is for instructing the quantizer 103 to execute highly  
25 efficient encoding.

Fig. 10 is a block diagram illustrating an example

of the construction of the motion detector 107 according to the fourth embodiment. This arrangement is applied to a case where the input image signal is an interlaced image signal typified by a television signal.

5           As shown in Fig. 10, the motion detector 107 includes line delay circuits 201, 202 and a comparator 203. The image signal from the image input unit 101 is supplied to the comparator 203 along a total of three paths, namely a path  $P(x,y+1)$  leading directly to the  
10   comparator 203, a path  $P(x,y)$  via the line delay circuit 201 and a path  $P(x,y-1)$  via both line delay circuits 201 and 202. The line delay circuits 201 and 202 are each single-pixel delay circuits corresponding to one horizontal line of the image signal. Accordingly, sets  
15   of three pixels arrayed vertically are supplied to the comparator 203 sequentially. The comparator 203 compares the average value of the upper and lower pixels of the three vertically arrayed pixels of the set with the value of middle pixel and determines whether the  
20   difference between the compared values exceeds a predetermined quantity. More specifically, the comparator 203 detects motion between fields in the interlaced image signal and supplies the result to the region designation unit 106.

25           According to the fourth embodiment, the detection signal 110 is output as a high-level signal if the

following relation holds:

$$\text{abs}\{(x,y+1) + p(x,y-1))/2 - P(x,y)\} > K \quad \dots (13)$$

where K represents a predetermined value. It should be noted that  $\text{abs}\{(x,y+1) + p(x,y-1))/2 - P(x,y)\}$  in

- 5 Equation (13) indicates the absolute value of the difference between the value of  $P(x,y)$  and the average of the values of pixel  $P(x,y+1)$  and pixel  $P(x,y-1)$ .

In accordance with the fourth embodiment as described above, motion of an image can be detected  
10 automatically based upon the difference between vertically arrayed pixel values contained in the image, thereby making it possible to select an image region to undergo highly efficient encoding.

[Fifth Embodiment]

- 15 A fifth embodiment of the invention in which the motion detector 107 has a different construction will now be described.

Fig. 11 is a block diagram illustrating an example of the construction of a motion vector detector 107a  
20 according to a fifth embodiment. This arrangement is used in a case where the input image signal is a progressive image signal typified by an image signal processed by a personal computer or the like.

- As shown in Fig. 11, the motion detector 107a  
25 includes a frame delay circuit 301 for delaying the input image signal by one frame, and a comparator 302.

An image signal supplied from the image input unit 101 in Fig. 11 is applied to the comparator 302 directly via a path P(x,y) and indirectly via a path Q(x,y) through the frame delay circuit 301. The latter is a one-pixel delay circuit corresponding to one frame of the image signal. Accordingly, sets of pixels are supplied to the comparator 302 sequentially, each set comprising two pixels at identical positions in the immediately preceding frame and present frame. The comparator 302 compares the value of the pixel of the preceding frame with the value of the pixel of the present frame, determines whether the difference between the compared values exceeds a predetermined quantity and outputs the detection signal 110 if the predetermined quantity is exceeded. More specifically, the comparator 302 detects motion between frames in the progressive image signal and applies the result of detection to the region designation unit 106.

According to the fifth embodiment, therefore, the detection signal 110 is output as a high-level signal if the following relation holds:

$$\text{abs}\{Q(x,y) - P(x,y)\} > K \quad \dots (14)$$

where K represents a predetermined value. It should be noted that  $\text{abs}\{(x,y) - P(x,y)\}$  in Equation (14)

indicates the absolute value of the difference between the values of pixel Q(x,y) and pixel P(x,y).

In accordance with the fifth embodiment as described above, motion of an image can be detected automatically based upon the difference between pixel values from one frame of an image to the next, thereby making it possible to select an image region to undergo highly efficient encoding.

[Sixth Embodiment]

A block-based motion detection method is well known from the MPEG standard, etc., as a motion detection method other than those described above. The construction of an encoding apparatus using a motion detector that employs this block-based motion detection method also is covered by the scope of the present invention.

Fig. 12 is a block diagram illustrating an example of the construction of a motion vector detector 107b according to a sixth embodiment.

As shown in Fig. 12, the motion vector detector 107b includes a block forming unit 901, a motion vector calculation unit 902 and a comparator 903. The image signal supplied from the image input unit 101 is split into blocks each comprising  $8 \times 8$  pixels by the block forming unit 901. The motion vector calculation unit 902 calculates a vector  $(u,v)$ , which indicates, with regard to each individual block of the blocks output from the block forming unit 901, the position of the



block relative to another block that has the highest degree of correlation. The comparator 903 compares the magnitude  $[\sqrt{(u^2+v^2)}]$  of the vector (u,v) supplied from the motion vector calculation unit 902 with a first  
5 predetermined value **a** and a second predetermined value **b**, determines that significant motion regarding this block has been verified if the magnitude  $[\sqrt{(u^2+v^2)}]$  of the vector is greater than the first predetermined value **a** and is equal to or less than the second predetermined  
10 value **b**, and outputs the detection signal 110 as a high level. More specifically, with regard to each block of pixels, the comparator 903 detects suitable motion defined by the predetermined upper and lower limit values **a**, **b** and supplies the result of detection to the  
15 region designation unit 106.

Thus, the region designation unit 106 receives the detection signal 110 from the motion detector 107 (107a, 107b) and, when the target image is subjected to the discrete wavelet transform, generates the region  
20 information 111 indicating which coefficients belong to the region in which motion has been detected and supplies the region information 111 to the quantizer 103.

The quantizer 103 quantizes the sequence of  
25 coefficients supplied from the discrete wavelet transformation unit 102. At this time the region that

has been designated by the region information 111 from the region designation unit 106 is quantized upon shifting up the output of the quantizer 103 a predetermined number of bits or raising quantization precision a predetermined amount, this region of the image is compared with the image periphery and is encoded to a higher image quality. The output of the quantizer 103 thus obtained is supplied to the entropy encoder 104.

- 10       The entropy encoder 104 decomposes the data sequence from the quantizer 103 into bit planes, applies binary arithmetic encoding on a per-bit-plane basis and supplies the code output unit 105 with a code sequence indicative of the result of encoding. It should be
- 15       noted that a multilevel arithmetic encoder that does not decompose data into bit planes or a Huffman encoder may be used to construct the entropy encoder without detracting from the effects of the present invention. Such an encoder also is covered by the scope of the
- 20       present invention.

- By virtue of this arrangement, a region of motion within an image is encoded to an image quality higher than that of the image periphery. This is to deal with video shot by a surveillance video camera or by a
- 25       substantially stationary video camera that shoots everyday scenes. In most cases the main item of

interest in such captured video resides in the region of the image where there is motion. By adopting the above-described arrangement, therefore, the portion of the image where the main item of interest appears can be  
5 encoded to an image quality that is higher than that of the other regions of the image such as the background thereof.

The converse arrangement, namely one in which an image region in which motion is not detected is  
10 designated as a target region for encoding at a higher performance, also is considered to be included as an embodiment of the present invention. Such an arrangement may be so adapted that a region for which the detection signal 110 is at the low level in the each  
15 of the foregoing embodiments is made the object of highly efficient encoding. With such an arrangement, a region exhibiting little motion in an image will be encoded more efficiently than other regions.

For example, consider video shot by a video camera  
20 tracking a moving subject such as an athlete. Here the background is detected as moving while the athlete being tracked by the camera exhibits little motion. By designating the image region in which motion is not detected as a region to undergo highly efficient  
25 encoding, an athlete that is the subject of photography

in a sports scene can be encoded to an image quality higher than that of the background.

In accordance with the sixth embodiment, as described above, a region exhibiting motion in an image can be detected automatically and an image region to which highly efficient encoding is to be applied can be selected.

[Seventh Embodiment]

The present invention covers also an arrangement in which whether the region designation unit 106 outputs the region information 111 for a region in which motion has been detected or for a region in which motion has not been detected is switched in conformity with a change in the shooting conditions.

Fig. 13 is a block diagram illustrating an example of the construction of a region designation unit 106a according to the seventh embodiment for changing over the region designating operation automatically in dependence upon the shooting conditions.

As shown in Fig. 13, the region designation unit 106a includes a counter 904, a comparator 905, an inverter 906 and a changeover unit 907.

The detection signal 110 indicative of the result of detection by the motion detector 107 (107a, 107b) is applied to the counter 904. On the basis of the detection signal 110, the counter 904 counts the number

of pixels contained in the image region that exhibits the detected motion. The detection signal 110 varies pixel by pixel, as described earlier. Therefore, by counting the number of times the detection signal 110

5 changes in accordance with the level of the detection signal 110, the number of pixels contained in the image region that exhibits motion can be obtained. The comparator 905 compares the pixel count obtained by the counter 904 with a predetermined value and applies a

10 control signal 910 to the changeover unit 907. The changeover unit 907 is further supplied with the detection signal 110 indicative of the region in which motion has been detected, and a signal obtained by inverting the detection signal 110 by the inverter 906,

15 namely a signal indicative of an image region in which motion has not been detected. If the comparator 905 finds that the number of pixels in the image region in which motion has been detected is equal to or less than a predetermined value, the changeover unit 907 selects

20 and outputs the region information 111 based upon the detection signal 110 indicative of the image region in which motion has been detected. Conversely, if the comparator 905 finds that the number of pixels counted is greater than the predetermined value, then the

25 changeover unit 907 selects and outputs the region information 111 based upon the output of the inverter

906, namely the image region in which motion has not been detected, in order that this region will be encoded to a high image quality.

In accordance with the seventh embodiment, as  
5 described above, a region in which image motion has been detected or a region in which image motion has not been detected can be selected in dependence upon the characteristics of the image as an image region to undergo highly efficient encoding.

10 The encoding apparatus according to the above-described embodiment is effective for highly efficient encoding of a moving image. However, by treating a single image in a sequence of moving images as a still image, the apparatus can be applied to highly efficient  
15 encoding of this still image. Such an arrangement also is covered by the scope of the present invention.

In each of the foregoing embodiments, hardware implementation of the various components is taken as an example. However, this does not impose a limitation  
20 upon the present invention because the operations of these components can be implemented by a program executed by a CPU.

Further, though each of the foregoing embodiments has been described independently of the others, this  
25 does not impose a limitation upon the invention because

the invention is applicable also to cases where these  
embodiments are suitably combined.

The present invention can be applied to a system  
constituted by a plurality of devices (e.g., a host  
5 computer, interface, reader, printer, etc.) or to an  
apparatus comprising a single device (e.g., a copier or  
facsimile machine, etc.).

Furthermore, it goes without saying that the object  
of the invention is attained also by supplying a storage  
10 medium (or recording medium) storing the program codes  
of the software for performing the functions of the  
foregoing embodiments to a system or an apparatus,  
reading the program codes with a computer (e.g., a CPU  
or MPU) of the system or apparatus from the storage  
15 medium, and then executing the program codes. In this  
case, the program codes read from the storage medium  
implement the novel functions of the embodiments and the  
storage medium storing the program codes constitutes the  
invention. Furthermore, besides the case where the  
20 aforesaid functions according to the embodiments are  
implemented by executing the program codes read by a  
computer, it goes without saying that the present  
invention covers a case where an operating system or the  
like running on the computer performs a part of or the  
25 entire process in accordance with the designation of  
program codes and implements the functions according to

the embodiment.

It goes without saying that the present invention further covers a case where, after the program codes read from the storage medium are written in a function expansion card inserted into the computer or in a memory provided in a function expansion unit connected to the computer, a CPU or the like contained in the function expansion card or function expansion unit performs a part of or the entire process in accordance with the designation of program codes and implements the function of the above embodiments.

Thus, in accordance with the embodiments as described above, a region of interest can be extracted from multilevel image data at high speed. As a result, it is possible to provide an image encoding method and apparatus capable of adaptively selecting encoding processing that differs for each region of an image.

The present invention is not limited to the above embodiments and various changes and modifications can be made within the spirit and scope of the present invention. Therefore, to apprise the public of the scope of the present invention, the following claims are made.



WHAT IS CLAIMED IS:

1. An image encoding apparatus comprising:

image input means for inputting an image signal;

band dividing means for dividing the image signal

5 input by said image input means into different spatial frequency bands;

region-of-interest extraction means for extracting a region of interest by obtaining a distribution of motion vectors in the image signal based upon values of

10 spatial frequency components of the image signal obtained by said band dividing means;

quantization means for applying quantization processing to the region of interest extracted by said region-of-interest extraction means and different

15 quantization processing to other regions, and outputting a quantized image signal; and

image encoding means for encoding the quantized image signal quantized by said quantization means.

20 2. The apparatus according to claim 1, wherein said band dividing means divides the image signal into different spatial frequency bands by applying a discrete wavelet transform to the image signal.

25 3. The apparatus according to claim 1, wherein said region-of-interest extraction means includes motion-

vector estimation means for estimating motion vectors within the image signal using a portion of low-frequency components which changes with time and high-frequency components of the image signal obtained by said band

5 dividing means,

wherein the region of interest in the image signal being is extracted by said region-of-interest extraction means based upon the distribution of motion vectors estimated by said motion-vector estimation means.

10

4. The apparatus according to claim 3, wherein said image input means inputs an image signal captured in accordance with a picture-taking mode; and

when said region-of-interest extraction means

15 extracts a region of interest in the input image based upon the distribution of motion vectors, a region judged to be the region of interest is changed over in accordance with the picture-taking mode of said image input means.

20

5. The apparatus according to claim 1, wherein said region-of-interest extraction means includes calculation means for calculating degree of left-right symmetry of the image signal using high-frequency components of the image signal obtained by said band dividing means,

25

wherein the region of interest in the input image

being is extracted by said region-of-interest extraction means based upon a distribution of degrees of left-right symmetry calculated by said calculation means.

5 6. The apparatus according to claim 1, wherein said image input means inputs an image signal captured in accordance with a picture-taking mode; and  
on the basis of the distribution of degrees of left-right symmetry, said region-of-interest extraction  
10 means changes over extraction processing of the region of interest in the image signal in accordance with the picture-taking mode of said image input means.

7. The apparatus according to claim 1, wherein said  
15 region-of-interest extraction means segments the image signal into a plurality of regions using low-frequency components of the image signal obtained by said band dividing means, and decides boundaries of these plurality of regions using high-frequency components of  
20 the image signal obtained by said band dividing means.

8. An image encoding apparatus comprising:  
transformation means for applying a discrete wavelet transform to an image signal;  
25 motion detection means for detecting motion of an image based upon the image signal;

region designation means for designating a region of the image signal based upon information indicating motion of the image detected by said motion detection means;

5 quantization means for quantizing a discrete wavelet transformed output from said transformation means in accordance with the region designated by said region designation means and outputting a quantized image signal; and

10 encoding means for encoding the quantized image signal quantized by said quantization means.

9. The apparatus according to claim 8, wherein said motion detection means detects motion of the image in  
15 accordance with a difference between pixel values of two mutually adjacent pixels vertically of the image signal.

10. The apparatus according to claim 8, wherein said motion detection means detects motion of the image in  
20 accordance with a difference between pixel values of corresponding pixels in two successive frames of the image signal.

11. The apparatus according to claim 8, wherein said  
25 motion detection means includes:

block calculation means for forming the image

signal into blocks and calculating motion vectors on a block-by-block basis; and

detection means for detecting motion of the image based upon whether magnitude of a motion vector  
5 calculated by said block calculation means is greater than a predetermined value.

12. The apparatus according to claim 8, wherein said quantization means performs quantization upon raising  
10 quantization precision of the image region designated by said region designation means.

13. The apparatus according to claim 8, wherein said region designation means designates a region of the  
15 image signal based upon the information indicating motion of the image output by said motion detection means.

14. The apparatus according to claim 8, wherein said  
20 region designation means designates a region of the image signal not contained in the information indicating motion of the image output by said motion detection means.

25 15. The apparatus according to claim 8, wherein said region designation means includes:

counting means for counting number of pixels based upon the information indicating motion of the image detected by said motion detection means; and selection means for selecting a method of designating an area of the image signal that is based upon the information indicating motion of the image detected by said motion detection means, based upon the number of pixels counted by said counting means.

10 16. The apparatus according to claim 8, wherein said encoding means decomposes a data sequence, which is supplied from said quantization means, into bit planes, applies binary arithmetic encoding on a per-bit-plane basis and outputs code sequences giving priority to code sequences that correspond to bit planes of higher order bits.

17. An image encoding method comprising:  
an image input step of inputting an image signal;  
20 a band dividing step of dividing the image signal input at said image input step into different spatial frequency bands;  
a region-of-interest extraction step of extracting a region of interest by obtaining a distribution of motion vectors in the image signal based upon values of spatial frequency components of the image signal

obtained at said band dividing step;

a quantization step of applying quantization processing to the region of interest extracted at said region-of-interest extraction step and different  
5 quantization processing to other regions and outputting a quantized image signal; and

an image encoding step of encoding the quantized image signal.

10 18. The method according to claim 17, wherein said band dividing step divides the image signal into different spatial frequency bands by applying a discrete wavelet transform to the image signal.

15 19. The method according to claim 17, wherein said region-of-interest extraction step includes steps of:  
estimating motion vectors within the image signal using a portion of low-frequency components which changes with time and high-frequency components of the  
20 image signal obtained at said band dividing step; and  
extracting a region of interest in the image signal based upon the distribution of motion vectors estimated.

20. The method according to claim 19, wherein said  
25 image input step inputs an image signal captured in accordance with a picture-taking mode; and

when said region-of-interest extraction step  
extracts a region of interest in the image signal based  
upon the distribution of motion vectors, a region judged  
to be the region of interest is changed over in  
5 accordance with the picture-taking mode.

21. The method according to claim 17, wherein said  
region-of-interest extraction step includes:

a calculation step of calculating degree of left-  
10 right symmetry of the image signal using high-frequency  
components of the image signal obtained at said band  
dividing step;

a step of extracting a region of interest in the  
image signal based upon a distribution of degrees of  
15 left-right symmetry calculated at said calculation step.

22. The method according to claim 17, wherein said  
image input step inputs an image signal captured in  
accordance with a picture-taking mode; and

20 on the basis of the distribution of degrees of  
left-right symmetry, said region-of-interest extraction  
step changes over extraction processing of the region of  
interest in the image signal in accordance with the  
picture-taking mode.

25

23. The method according to claim 17, wherein said



region-of-interest extraction step includes steps of segmenting the image signal into a plurality of regions using low-frequency components of the image signal obtained at said band dividing step, and deciding  
5 boundaries of these plurality of regions using high-frequency components of the image signal.

24. An image encoding method for encoding an image signal, comprising:

- 10 a transformation step of applying a discrete wavelet transform to the image signal;
  - a motion detection step of detecting motion of an image based upon the image signal;
  - a region designation step of designating a region  
15 of the image signal based upon information indicating motion of the image detected at said motion detection step;
  - a quantization step of quantizing a transformed image signal output from said transformation step in  
20 accordance with the region designated at said region designation step and outputting a quantized image signal; and
  - an encoding step of encoding the quantized image signal quantized at said quantization step.

25

25. The method according to claim 24, wherein said

motion detection step detects motion of the image in accordance with a difference between pixel values of two mutually adjacent pixels vertically of the image signal.

5 26. The method according to claim 24, wherein said motion detection step detects motion of the image in accordance with a difference between pixel values of corresponding pixels in two successive frames of the image signal.

10

27. The method according to claim 24, wherein said motion detection step includes:

a block calculation step of forming the image signal into blocks and calculating motion vectors on a  
15 block-by-block basis; and

a detection step of detecting motion of the image based upon whether magnitude of a motion vector calculated at said block calculation step is greater than a predetermined value.

20

28. The method according to claim 24, wherein said quantization step performs quantization upon raising quantization precision of the image region designated at said region designation step.

25

29. The method according to claim 24, wherein said

region designation step designates a region of the image signal based upon the information indicating motion of the image output at said motion detection step.

5 30. The method according to claim 24, wherein said region designation step designates a region of the image signal not contained in the information indicating motion of the image output at said motion detection step.

10

31. The method according to claim 24, wherein said region designation step includes:

a counting step of counting number of pixels based upon the information indicating motion of the image

15 detected at said motion detection step; and

a selection step of selecting a method of designating an area of the image signal that is based upon the information indicating motion of the image detected at said motion detection step, based upon the  
20 number of pixels counted at said counting step.

32. The method according to claim 24, wherein said encoding step decomposes a data sequence, which is supplied by said quantization step, into bit planes,  
25 applies binary arithmetic encoding on a per-bit-plane basis and outputs code sequences giving priority to code

sequences that correspond to bit planes of higher order bits.

33. A computer-readable storage medium storing a  
5 program for implementing an image encoding method for encoding an image signal, comprising:

a module of a band dividing step of dividing an image signal into different spatial frequency bands;

a module of a region-of-interest extraction step of  
10 extracting a region of interest by obtaining a distribution of motion vectors in the image signal based upon values of spatial frequency components of the image signal obtained by the module of said band dividing step;

15 a module of a quantization step of applying quantization processing to the region of interest extracted by the module of said region-of-interest extraction step and different quantization processing to other regions; and

20 a module of an image encoding step of encoding the image signal that has been quantized by the module of said quantization step.

34. A computer-readable storage medium storing a  
25 program for implementing an image encoding method for encoding an input image signal, comprising:

a module of a transformation step of applying a discrete wavelet transform to the image signal;

a module of a motion detection step of detecting motion of an image based upon the image signal;

5 a module of a region designation step of designating a region of the image signal based upon information indicating motion of the image detected by the module of said motion detection step;

a module of a quantization step of quantizing a  
10 transformed output by the module of said transformation step in accordance with the region designated by the module said region designation step and outputting a quantized image signal; and

a module of an encoding step of encoding the  
15 quantized image signal quantized by the module of said quantization step.

# ABSTRACT OF THE DISCLOSURE

An image signal is input from an image input unit and is divided into different spatial frequency bands by applying a discrete wavelet transform thereto using a discrete wavelet transformation unit. On the basis of values of spatial frequency components, a region-of-interest extracts a region of interest by obtaining a distribution of motion vectors in the input image. A quantization unit applies quantization processing to the extracted region of interest and different quantization processing to other regions, and an encoder encodes the quantized image signal. Alternatively, motion of an image contained in the input image may be detected and the region of interest may be obtained based upon motion of this image.

FIG. 1

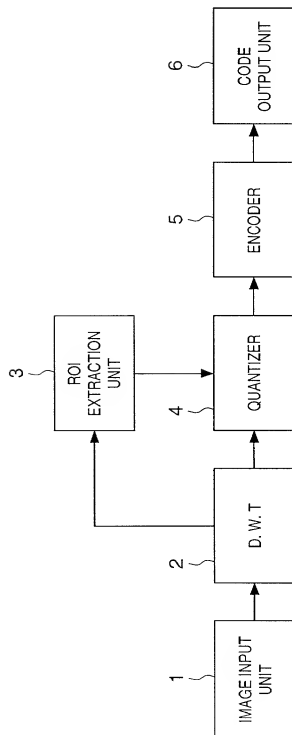
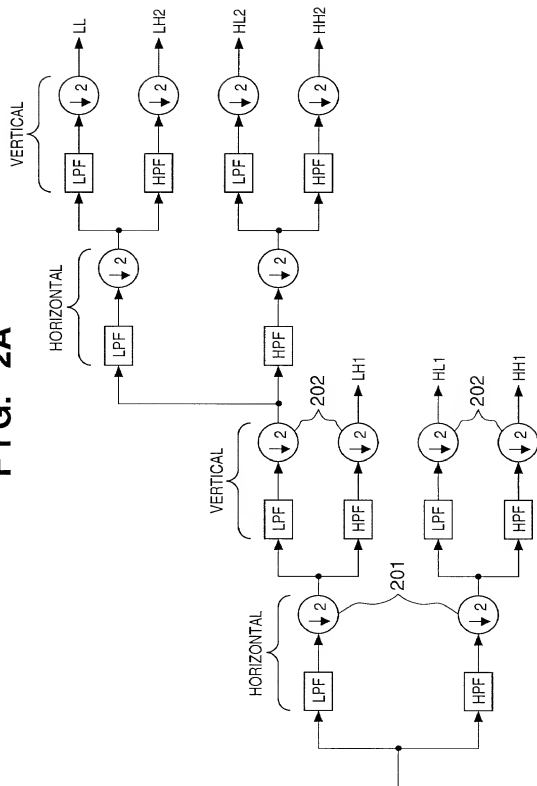


FIG. 2A





**FIG. 2B**

LL	HL2	HL1
LH2	HH2	
LH1		HH1

0966006.10660

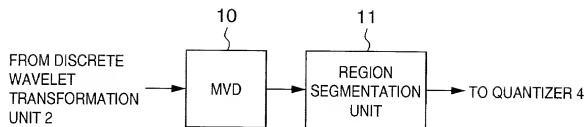
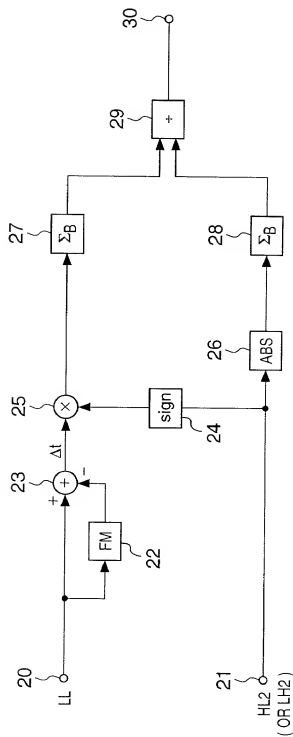
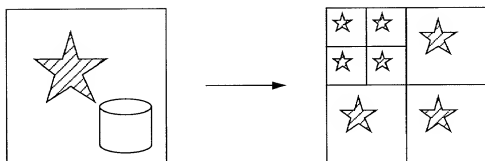
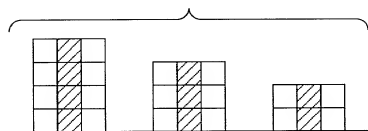
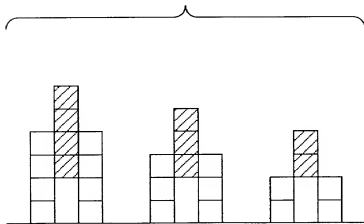
**FIG. 3**

FIG. 4



**FIG. 5A****FIG. 5B****FIG. 5C**



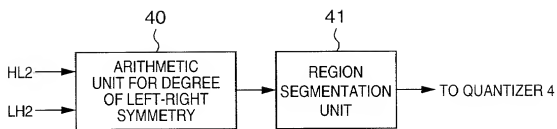
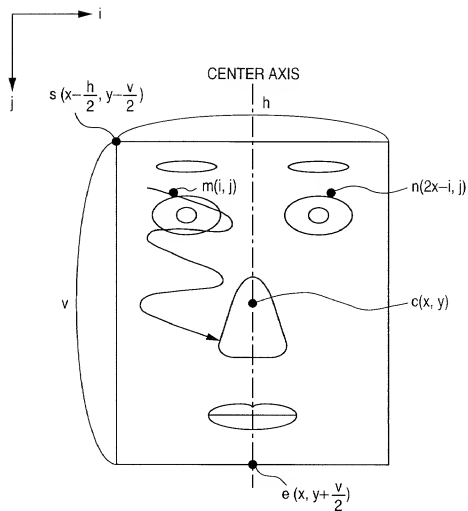
**FIG. 7**

FIG. 8



**FIG. 9**

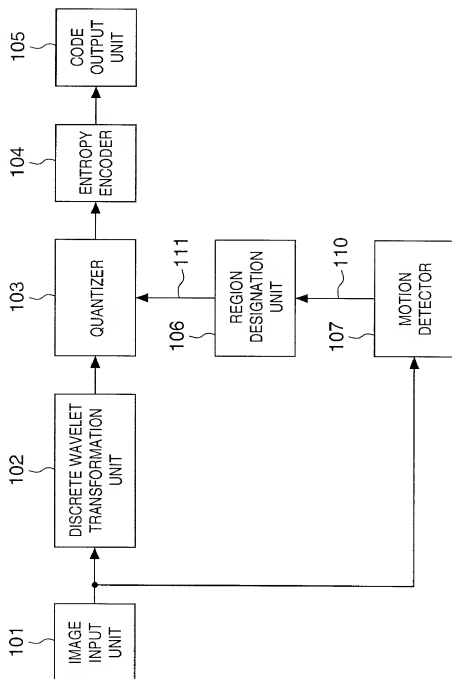




FIG. 10

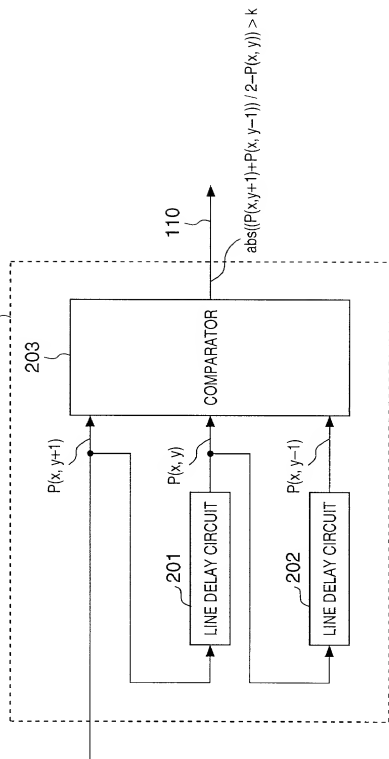


FIG. 11

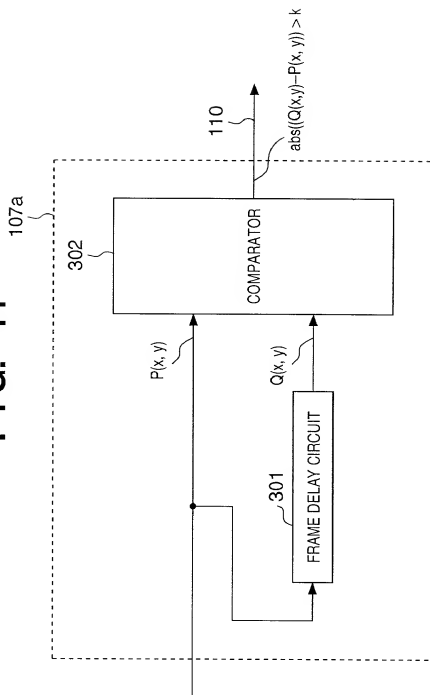


FIG. 12

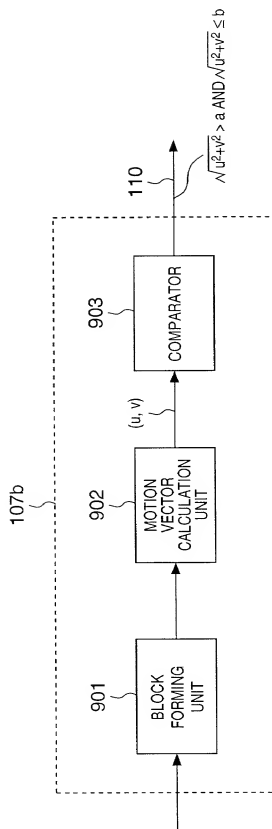


FIG. 13

